

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	CMC-007C1
First Named Inventor	Kohane
Title	System and Method for Providing Personal Control of Access to Confidential Records Over a Public Network

**APPLICATION ELEMENTS**

**ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

31355 U.S. PTO  
10/743518

1. ☒ Fee Transmittal Form and a Check
2. ☒ Small Entity Status  
☐ Applicant claims small entity status  
☒ Status established in prior application and is still proper and desired
3. ☒ Specification and Drawings [Total Pages 49]  
 - Written Description - (36 pages)  
 - Claims - (5 pages)  
 - Abstract - (1 page)  
 - Sheets of Drawings - (7 sheets)  
☐ Formal  
☒ Informal
4. ☒ Oath or Declaration [Total Pages 6]  
 a. ☐ Newly executed (original)  
 b. ☒ Copy from a prior application (37 CFR 1.63(d))  
 (for continuation/divisional with Box 17 completed)  
 [Note Box 5 below]
5. ☒ Incorporation by Reference (usable if Box 4b is checked)  
 The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☒ Application Data Sheet
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
☐ Computer Readable Form (CRF)  
☐ Paper Copy (identical to computer copy)  
☐ CD (2 copies) (identical to computer copy)  
☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
11. ☒ Preliminary Amendment (5 pgs.)  
☐ Drawings [Total Sheets ]
12. ☒ Return Receipt Postcard (specifically itemized)
13. ☐ Certified Copy of Priority Document(s) (if foreign priority claimed)
14. ☐ Nonpublication Request Under 35 U.S.C. 122(b)
15. ☐ CD in duplicate for large table or computer program
16. ☐ Other:

**17. ☒ If a CONTINUING APPLICATION:**

--This is a

☒ continuation ☐ divisional ☐ continuation-in-part of prior application Serial No. 09/410,717, filed October 1, 1999, which claims the benefits of and priority to U.S. provisional application serial no. 60/150,154 filed August 20, 1999.

**Priority to the above application(s) is claimed under 35 U.S.C. 120.**

Prior application information: Examiner: Truong, Thanhnga B. Group/Art Unit: 2172.

**18. ☐ Priority - 35 U.S.C. 119**

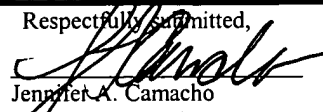
- ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. 119.  
☐ The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_/\_\_\_\_ on \_\_\_\_\_.  
☐ The certified copy will follow.

**CORRESPONDENCE ADDRESS**

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 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100  
 Customer No. 021323

**SIGNATURE BLOCK**

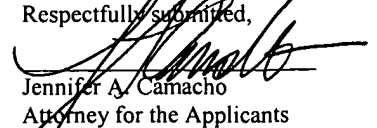
Date: December 22, 2003  
 Reg. No. 43,526  
 Tel. No.: (617) 248-7476  
 Fax No.: (617) 248-7100

Respectfully submitted,  
  
 Jennifer A. Camacho  
 Attorney for Applicants  
 Testa, Hurwitz & Thibault, LLP  
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 125 High Street  
 Boston, MA 02110

122203  
16085 U.S. PTO**FEE TRANSMITTAL**  
**FY 2004**

Complete if Known

Application Serial Number	Not yet assigned
Filing Date	Herewith
First Named Inventor	Kohane
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket No.	CMC-007C1

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																	
1. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	<b>3. ADDITIONAL FEES</b> <table border="1" style="width: 100%;"> <thead> <tr> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>2,520</td><td>2,520</td><td>Request for ex parte reexamination</td><td></td></tr> <tr><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>420</td><td>210</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>950</td><td>475</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1480</td><td>740</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>2010</td><td>1005</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>330</td><td>165</td><td>Notice of Appeal</td><td></td></tr> <tr><td>330</td><td>165</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>290</td><td>145</td><td>Request for oral hearing</td><td></td></tr> <tr><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>180</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>770</td><td>385</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>770</td><td>385</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>100</td><td>100</td><td>Certificate of Correction for applicant's error</td><td></td></tr> <tr><td>110</td><td>55</td><td>Submission of Terminal Disclaimer</td><td></td></tr> <tr><td colspan="2">Other fee (Specify)</td><td></td><td></td></tr> <tr><td colspan="2">Other fee (Specify)</td><td></td><td></td></tr> </tbody> </table>	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath		50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	Request for ex parte reexamination		110	55	Extension for reply within first month		420	210	Extension for reply within second month		950	475	Extension for reply within third month		1480	740	Extension for reply within fourth month		2010	1005	Extension for reply within fifth month		330	165	Notice of Appeal		330	165	Filing a brief in support of an appeal		290	145	Request for oral hearing		130	130	Petitions to the Commissioner		180	180	Submission of Information Disclosure Statement		770	385	Filing a submission after final rejection (37 CFR 1.129(a))		770	385	For each additional invention to be examined (37 CFR 1.129(b))		100	100	Certificate of Correction for applicant's error		110	55	Submission of Terminal Disclaimer		Other fee (Specify)				Other fee (Specify)																
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Respectfully submitted,  Jennifer A. Camacho Attorney for the Applicants Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110																																																																																																		